**RDA phase 3: Undifferentiated personal names**

Gary L. Strawn

September 1, 2014

**Background**

The method by which authority records for undifferentiated personal names should be handled was an unsettled matter at the time the work of Phases 1 and 2 of the preparation of the LC/NACO Authority File for use under RDA was undertaken. The manner in which these records are to be handled has since become a settled matter, described in the 008/32 chapter of section Z1 of LC's *Descriptive cataloging manual.* With this policy in place, the RDA Authorities Phase 3 Task Group is able to recommend a manner in which these records can be re-coded to make their status clear.

The work described in this document does not involve a change to an authority record's 1XX field.

**Record selection**

The work described in this document applies to authority records with all of the following characteristics:

* 008/32 contains code 'b'
* The record contains a 100 field
* The record contains at least two 670 fields whose subfield $a text begins with an opening square bracket

Authority records that contain the following combinations of elements are excluded from the work described in this document, but should be reported for separate examination:

* 008/32 contains code 'b', but the record does not contain a 100 field
* 008/32 contains code 'b', the 100 field does not contain subfield $t, and the record does not contain at least two 670 fields whose subfield $a text begins with an opening square bracket

**Record modification**

Make the following changes to authority records selected according to the preceding criteria:

1. If the record is coded as RDA, change the descriptive cataloging rules code (008/10) to "c" (AACR2) and remove 040 subfield $e
2. If the record does not already contain a 667 field beginning "THIS 1XX FIELD", add this 667 field to the record: "THIS 1XX FIELD CANNOT BE USED UNDER RDA. SEE DCM Z1". If the record already contains some other different 667 whose text begins "THIS 1XX FIELD", replace that 667 field with the text "THIS 1XX FIELD CANNOT BE USED UNDER RDA. SEE DCM Z1".

If the authority record is to be changed according to these instructions, make other changes to the authority record as described in other documents.