**RDA phase 3: Subfield $c in personal names**

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**Background**

Work performed for Phases 1 and 2 of the manipulation of the LC/NACO Authority File for use under RDA resulted in the definition of three categories of X00 subfield $c texts in non-RDA authority records:

* Texts that could continue to be used under RDA as given
* Texts that could be used under RDA if the text were enclosed in parentheses
* Texts whose suitability for use under RDA could not be easily determined

The program used for Phases 1 and 2 of this work drew on a spreadsheet that identified the category into which a text found in subfield $c fell. The general scheme devised for Phases 1 and 2 remains valid. However, the categories of terms that are allowed in subfield $c under RDA have been expanded, which means that the status of a given subfield $c text may have shifted between Phase 2 and the work to be done for Phase 3. In addition, substantial work has been performed to tease out data elements stated in or implied by information in subfield $c, which can result in the generation of secondary fields (the 36X and 37X blocks of tags). This document describes this work.

**Configuration files**

The program to be used for Phase 3 draws on external sources of information:

* Configuration files listing subfield $c texts that can be used in RDA records as given
* Configuration files listing subfield $c texts that can be used in RDA records if enclosed in parentheses
* A spreadsheet identifying the 36X and 37X fields implied by certain subfield $c texts

The configuration files that list subfield $c texts simply list each text on a separate line.[[1]](#footnote-1)

*Extract from a file listing "as is" texts:*

GRAF VON

GRAF ZU

GRAFIN VON

GRAND DUCHESS DAUGHTER OF NICHOLAS II EMPEROR OF RUSSIA

GRAND DUCHESS OF KIEV

GRAND DUCHESS OF RUSSIA

GRAND DUKE OF BADEN

*Extract from a file listing "with parentheses" texts:*

accordion player

accordionist

accountant

actor

actress

actuary

adjtant

administrator

advocate

The spreadsheet giving 36X and 37X equivalents for subfield $c texts has the following columns of interest.[[2]](#footnote-2) The spreadsheet was generated through a consideration of each distinct subfield $c text. Any given subfield $c text may contain text in any appropriate combination of these columns.

* D: The normalized form of the subfield $c text
* E: Text for 368 $c, with subfields indicated (including subfield $2 when appropriate)
* F: Text for 368 $d, with subfields indicated (including subfield $2 when appropriate)
* G: Text for the 370 field, with subfields indicated (including subfield $2 when appropriate)
* H: Text for the 371 field, with subfields indicated (no subfield $2 when appropriate)
* I: Text for the 372 field, with subfields indicated (including subfield $2 when appropriate)
* J: Text for the 373 field, with subfields indicated (including subfield $2 when appropriate)
* K: Text for the 374 field, with subfields indicated (including subfield $2 when appropriate)
* L: Text for the 375 field, with subfield indicated (no subfield $2)
* M: Replacement for the subfield $c text

*Here are some examples of 36X and 37X fields that will be supplied, based on the contents of subfield $c:*

**368 $c**

100 0 |a Thomas, |c à Becket, Saint, |d 1118?-1170

368 |a Saints |2 lcsh

100 0 |a Maquinna, |c a Nuu-chah-nulth chief

368 |a Nootka Indians |2 lcsh

100 1 |a Mason, William, |c Anabaptist

368 |a Anabaptists |2 lcsh

**368 $d**

100 1 |a Smith, William, |c Vice-Admiral

368 |d Vice-Admiral

100 0 |a Mathilde, |c Abbess of Quedlinburg, |d -999

368 |d Abbess of Quedlinburg

100 0 |a Pitt, Thomas, |c 2d Baron Camelford, |d 1775-1804

368 |d Baron Camelford

100 1 |a Brooks, William, |c Alderman of Dublin

368 |d Alderman

100 0 |a Onoruoiza, Ibrahima, |c Alhaji, Atta of Ebira

368 |d Alhaji |d Atta of Ebira

**370**

100 0 |a Benedict, |c Abbot of Peterborough, |d -1193

370 |f Peterborough (England) |2 naf

100 0 |a Katharine, |c Duchess of Kent, |d 1933-

370 |f Kent (England) |2 naf

100 0 |a Musa, |c Sultan of Mali, |d active 1324

370 |c Mali (Empire) |2 naf

100 1 |a Burk, James, |c citizen of Cork

370 |e Cork (Ireland) |2 naf

100 1 |a Sands, Thomas, |c of Culross

370 |e Culross (Scotland) |2 naf

100 1 |a Dalton, William,|c bookseller in York

370 |f York (England) |2 naf

**372**

100 1 |a Dominguez Diaz, Marta |c (Anthropologist of religion)

372 |a Anthropology of religion |2 lcsh

100 0 |a Thomas |c (Anglo-Norman poet)

372 |a Anglo-Norman poetry |2 lcsh

100 1 |a Le Blanc, Louis, |c chirurgien

372 |a Surgery |2 lcsh

**373**

100 1 |a Martin, Max, |c alumnus of Kerala University

373 |a University of Kerala |2 naf

100 1 |a Crisp, John, |c assistant at the Royal Academy

373 |a Royal Academy of Arts (Great Britain) |2 naf

**374**

100 1 |a Menon, Suresh |c (Aerospace engineer)

374 |a Aerospace engineers |2 lcsh

100 1 |a Brandes, Wilhelm, |c Apotheker

374 |a Pharmacists |2 lcsh

100 1 |a Wilkinson, George, |c Chaplain to Lord Haversham

374 |a Chaplains |2 lcsh

**375**

100 0 |a N. N., |c catholic gentleman

375 |a male

100 0 |a Wahletka, |c Cherokee princess, |d 1888-

375 |a female

100 0 |a Mowng Lat, |c Crown Prince of Burma, |d 1852-1936

375 |a male

*Here are some examples of multiple fields derived from a single $c text:*

100 1 |a Lipede, Oyebade, |c Alake of Egbaland, |d 1915-

368 |d Alake of Egbaland

370 |c Nigeria |2 naf

374 |c Yoruba (African people)—Kings and Rulers |2 lcsh

375 |a male

100 0 |a W. B., |c Alderman in Dublin

368 |d Alderman

370 |f Dublin (Ireland) |2 naf

372 |a Local government |2 lcsh

374 |a City council members |2 lcsh

100 0 |a Maximilian Heinrich, |c Archbishop and Elector of Cologne, |d 1621-1688

368 |d Archbishop and Elector of Cologne

370 |f Cologne (Electorate) |2 naf

374 |a Bishops |a Electors (Kurfürsten) |2 lcsh

375 |a male

*Here are some examples of non-RDA subfield $c texts that can be replaced by an equivalent RDA $c text:*

100 1 |a Ramos, Laura, |c abogada

100 1 |a Ramos, Laura, |c (Lawyer)

100 1 |a Laurent, Daniel, |c acupuncteur

100 1 |a Laurent, Daniel, |c (Acupuncturist)

100 1 |a Müller, Frank, |c aus Bremen

100 1 |a Müller, Frank, |c (Of Bremen)

**Working method**

The program will examine each authority record with a 100 field containing subfield $c. If the program finds the subfield $c text in the spreadsheet and if the 100 field contains only subfields $a, $b, $c, $d and/or $q, the program will add fields to the authority record as instructed by the spreadsheet. The program will not add a field with a given tag to the authority record if the authority record already contains any fields with the same tag. Regardless of other subfields that may be present in the 100 field, if the spreadsheet gives a replacement for the subfield $c text, the program will replace the text in the 100 field with the text given in the spreadsheet.

If the spreadsheet does not find a replacement for the subfield $c text in the spreadsheet, the program will consider information in the text configuration files. If the program finds the text of subfield $c in one of these fields, the program will adjust the text of subfield $c (adding, or removing parentheses) as indicated.

If the program has replaced the subfield $c text in an AACR2 record or if the configuration files indicate that a subfield $c text can be used under RDA "as is", the program will re-code the AACR2 record as RDA unless other characteristics in the record indicate that this is not appropriate.[[3]](#footnote-3)

If the program changes the 100 field as a part of this work, the program will convert the original 100 field into a suppressed "former heading" 4XX field, unless the new and original fields have the same comparison form.

1. The texts can be given in any convenient form. The program compares the normalized form of texts from the configuration file to the normalized form of subfield $c texts. [↑](#footnote-ref-1)
2. Columns A-C and N-O contain information used in the generation of the spreadsheet, but play no part in the work performed by the RDA conversion program. [↑](#footnote-ref-2)
3. For example, if 008/32 in an AACR2 record contains the value 'b' the program cannot re-code the record as RDA. [↑](#footnote-ref-3)